

Available online at http://scik.org Adv. Inequal. Appl. 2021, 2021:1 https://doi.org/10.28919/aia/5156 ISSN: 2050-7461

INEQUALITIES FOR THE RATIONAL FUNCTIONS WITH PRESCRIBED POLES AND RESTRICTED ZEROS

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Abstract. In this paper we shall consider the moduli of all the zeros of r(z) instead of maximum modulus of zeros of r(z) and present a refinement of some results. We shall also prove a result of similar nature.

Keywords: rational functions; polynomial inequalities; poles.

2010 AMS Subject Classification: 26D07.

1. INTRODUCTION

Let \mathscr{P}_n be the class of polynomials $P(z) = \sum_{j=0}^n a_j z^j$ of degree at most *n*. Let D_{k-} denotes the region inside the circle $T_k = \{z; |z| = k > 0\}$ and D_{k+} the region outside T_k . For $a_j \in \mathbb{C}$ with j = 1, 2, ..., n, we write

$$W(z) = \prod_{j=1}^{n} (z - a_j) \qquad ; \qquad B(z) = \prod_{j=1}^{n} \left(\frac{1 - \overline{a_j} z_j}{z - a_j} \right)$$

and

 $\mathscr{R}_n = \mathscr{R}_n(a_1, a_2, ..., a_n) = \left\{ \frac{P(z)}{W(z)} : P \in \mathscr{P}_n \right\},$

then \mathcal{R}_n is the set of all rational functions with poles $a_1, a_2, ..., a_n$ at most and with finite limit

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Received November 01, 2020

at infinity. We observe that $B(z) \in \mathcal{R}_n$. For f defined on T_k in the complex plane, we set $||f|| = \sup_{z \in T_k} |f(z)|$, the Chebyshev norm f on T_1 . Throughout this paper, we also assume that all poles $a_1, a_2, ..., a_n$ are in D_{1+} . The following famous result is due to Bernstein [4].

Theorem 1.1. If $P \in \mathscr{P}_n$ then $||P'|| \le n ||P||$.

As a refinement of Theorem 1.1, A. Aziz [1] and Malik [6] proved the following:

Theorem 1.2. If $P \in \mathscr{P}_n$ and $P^*(z) = z^n \overline{P(\frac{1}{\overline{z}})}$ then $|| |(P^*(z))'| + |P(z)| || = n ||P(z)||$.

The following result was conjectured by Erdös and later proved by Lax [5]

Theorem 1.3. If $P \in \mathscr{P}_n$ and all the zeros of P(z) lie in $T_1 \cup D_{1+}$ then for $z \in T_1$ we have

(1)
$$||P'|| \le \frac{n}{2} ||P(z)||.$$

Equality in (1) holds for $P(z) = \alpha z^n + \beta$ with $|\alpha| = |\beta|$.

Li, Mohapatra and Rodriguez [8] have proved Bernstein-type inequalities similar to Theorem 1.1 and Theorem 1.3 for rational functions with prescribed poles where they replaced z^n by Blaschkes product B(z). Among other things they proved the following generalisation of Theorem 1.3:

Theorem 1.4. Suppose $r \in \mathscr{R}_n$ and all zeros of r lie in $T_1 \cup D_{1+}$, then for $z \in T_1$, we have

(2)
$$|r'(z)| \le \frac{1}{2}|B'(z)|||r||$$

Equality in (2) *holds for* $r(z) = \alpha B(z) + \beta$ *with* $|\alpha| = |\beta| = 1$.

Aziz and Zargar [3] have proved the following generalization of Theorem 1.4.

Theorem 1.5. Suppose $r \in \mathscr{R}_n$ and all zeros of r lie in $T_k \cup D_{k+}$ where $k \ge 1$, then for $z \in T_1$, we have

(3)
$$|r'(z)| \le \frac{1}{2} \left\{ |B'(z)| - \frac{n(k-1)}{(k+1)} \frac{|r(z)|^2}{||r||^2} \right\} ||r||$$

Equality in (3) holds for $r(z) = \left(\frac{z+k}{z-a}\right)^n$ where $a > 1, k \ge 1$ and $B(z) = \left(\frac{1-az}{z-a}\right)^n$ evaluated at z = 1.

2. PRELIMINARIES

For the proof of these Theorems we need the following Lemmas. First Lemma is due to Li, Mohapatra and Rodriguez [8]

Lemma 2.1. If $r \in \mathscr{R}_n$ and $r^*(z) = B(z)\overline{r(\frac{1}{\overline{z}})}$ then for $z \in T_1$, we have

(4)
$$|(r^*(z))'| + |r'(z)| \le |B'(z)|||r||$$

Equality in (4) holds in r(z) = uB(z) with $u \in T_1$.

Lemma 2.2. *If* $z \in T_1$ *, then*

$$Re\left(\frac{zW'(z)}{W(z)}\right) = \frac{n-|B(z)|}{2}$$

and

$$Re\left(\frac{z(W^*(z))'}{W^*(z)}\right) = \frac{n+|B(z)|}{2}$$

where $W(z) = \prod_{j=1}^{n} (z - a_j)$ and $W * (z) = z^n \overline{W\left(\frac{1}{\overline{z}}\right)}$.

This Lemma is due to Aziz and Zargar [3].

3. MAIN RESULTS

Now instead of considering the maximum modulus of zeros of r(z) we shall consider the moduli of all the zeros of r(z) and prove the following refinement of Theorem 1.5.

Theorem 3.1. Suppose $r(z) = \frac{P(z)}{W(z)} \in \mathcal{R}_n$. If $b_1, b_2, ..., b_m$ are the zeros of r(z) lie in $T_k \cup D_{k+1}$ where $k \ge 1$, then for $z \in T_1$, we have

(5)
$$|r'(z)| \le \frac{1}{2} \left\{ |B'(z)| - 2\left(\sum_{j=1}^{m} \frac{1}{1+|b_j|} - \frac{n}{2}\right) \frac{|r(z)|^2}{||r||^2} \right\} ||r||.$$

Proof of Theorem 3.1. Let $r(z) = \frac{P(z)}{W(z)} \in \mathscr{R}_n$. If $b_1, b_2, ..., b_m$ are the zeros of P(z), then $m \le n$ and $|b_j| \ge k > 1, j = 1, 2, ..., n$ and we have

$$\frac{zr'(z)}{r(z)} = \frac{zP'(z)}{P(z)} - \frac{zW'(z)}{W(z)}$$
$$= \sum_{j=1}^{m} \frac{z}{z - b_j} - \frac{zW'(z)}{W(z)}$$

For $z \in T_1$, this gives with the help of Lemma 2.2, that

$$\begin{aligned} Re\frac{zr'(z)}{r(z)} &= Re\sum_{j=1}^{m} \frac{z}{z-b_{j}} - Re\frac{zW'(z)}{W(z)} \\ &= Re\sum_{j=1}^{m} \frac{z}{z-b_{j}} - \left(\frac{n-|B'(z)|}{2}\right) \\ &\leq \sum_{j=1}^{m} \frac{1}{1+|b_{j}|} - \left(\frac{n-|B'(z)|}{2}\right) \\ &= \frac{|B'(z)|}{2} + \left(\sum_{j=1}^{m} \frac{1}{1+|b_{j}|} - \frac{n}{2}\right) \end{aligned}$$

Hence for $z \in T_1$ we have [[8], p.529],

$$\begin{aligned} \left| \frac{z(r^*(z))'}{r(z)} \right|^2 &= \left| |B'(z)| - \frac{zr'(z)}{r(z)} \right|^2 \\ &= |B'(z)|^2 + \left| \frac{zr'(z)}{r(z)} \right|^2 - 2|B'(z)|Re\frac{zr'(z)}{r(z)} \\ &\geq |B'(z)|^2 + \left| \frac{zr'(z)}{r(z)} \right|^2 - 2|B'(z)| \left\{ \frac{|B'(z)|}{2} + \left(\sum_{j=1}^m \frac{1}{1+|b_j|} - \frac{n}{2} \right) \right\} \\ &= \left| \frac{zr'(z)}{r(z)} \right|^2 - 2\left(\sum_{j=1}^m \frac{1}{1+|b_j|} - \frac{n}{2} \right) |B'(z)| \end{aligned}$$

This implies for $z \in T_1$,

(6)
$$\left\{ |r'(z)|^2 - 2\left(\sum_{j=1}^m \frac{1}{1+|b_j|} - \frac{n}{2}\right) |r(z)|^2 |B'(z)| \right\}^{\frac{1}{2}} \le |(r^*(z))'|$$

Combining (6) with Lemma 2.1, we get

$$|r'(z)| + \left\{ |r'(z)|^2 - 2\left(\sum_{j=1}^m \frac{1}{1+|b_j|} - \frac{n}{2}\right) |r(z)|^2 |B'(z)| \right\}^{\frac{1}{2}} \le |B'(z)|||r||$$

or equivalently

$$|r'(z)|^{2} - 2\left(\sum_{j=1}^{m} \frac{1}{1+|b_{j}|} - \frac{n}{2}\right)|r(z)|^{2}|B'(z)| \leq \left\{|B'(z)|||r|| - |r'(z)|\right\}^{2}$$
$$= |B'(z)|^{2}||r||^{2} - 2|B'(z)||r'(z)|||r|| + |r'(z)|^{2}$$

which after a simplification yields for $z \in T_1$ that

$$|r'(z)| \le \frac{1}{2} \left\{ |B'(z)| + 2\left(\sum_{j=1}^{m} \frac{1}{1+|b_j|} - \frac{n}{2}\right) \frac{|r(z)|^2}{||r||^2} \right\} ||r||$$

this proves Theorem 3.1.

If r(z) has exactly *n* zeros in $T_k \cup D_{k+}$ where $k \ge 1$, then we have the following result.

Corollary 3.1. Suppose $r(z) = \frac{P(z)}{W(z)} \in \mathcal{R}_n$. If $b_1, b_2, ..., b_n$ are the zeros of r(z) lie in $T_k \cup D_{k+}$ where $k \ge 1$, then for $z \in T_1$, we have

(7)
$$|r'(z)| \le \frac{1}{2} \left\{ |B'(z)| - \sum_{j=1}^{n} \left(\frac{|b_j| - 1}{1 + |b_j|} \right) \frac{|r(z)|^2}{||r||^2} \right\} ||r||.$$

Remark 3.1. (7) is refinement of Theorem 1.5. To show this we observe

(8)
$$\frac{(|b_j|-1)}{(|b_j|+1)} \ge \frac{(k-1)}{(k+1)} \quad \text{where} \quad |b_j| \ge k \ge 1$$

(8) is true if

$$(k+1)(|b_j|-1) \ge (k-1)(|b_j|+1), j = 1, 2, ..., n$$

which yields that

 $|b_j| \ge k$

which is clearly true.

Here we shall present the following result which provides a refinement of Theorem 1.5.

Theorem 3.2. Suppose $r(z) = \frac{P(z)}{W(z)} \in \mathscr{R}_n$, where *r* has exactly *n* poles at $a_1, a_2, ..., a_n$ and all the zeros of r(z) lie in $T_k \cup D_{k+}$ where $k \ge 1$, then for $z \in T_1$, we have

(9)
$$|r'(z)| \le \frac{1}{2} \left\{ |B'(z)| - \frac{(n(k+1)-2m)}{(k+1)} \frac{|r(z)|^2}{||r(z)||^2} \right\} ||r(z)||.$$

where m is number of zeros of r.

Equality in (9) holds for $r(z) = \frac{(z+k)^m}{(z-a)^n}$ where $k \ge 1$ and $B(z) = \left(\frac{1-az}{z-a}\right)^n$ evaluated at z = 1.

Proof of Theorem 3.2

Let $r(z) = \frac{P(z)}{W(z)} \in \mathcal{R}_n$. If $b_1, b_2, ..., b_m$ are all the zeros of P(z), then $m \le n$ and $|b_j| \ge k > 1$, j = 1, 2, ..., m and we have

$$\frac{zr'(z)}{r(z)} = \frac{zP'(z)}{P(z)} - \frac{zW'(z)}{W(z)}$$
$$= \sum_{j=1}^{m} \frac{z}{z-b_j} - \frac{zW'(z)}{W(z)}.$$

For $z \in T_{k_+}$, this gives with the help of Lemma 2.2, that

(10)
$$Re\frac{zr'(z)}{r(z)} = Re\sum_{j=1}^{m} \frac{z}{z-b_j} - Re\frac{zW'(z)}{W(z)}$$
$$= Re\sum_{j=1}^{m} \frac{z}{z-b_j} - \left(\frac{n-|B'(z)|}{2}\right)$$

Now it can be easily verified that for $z \in T_1$, |b| > k > 1,

$$Re\left(\frac{z}{z-b}\right) \le \frac{1}{1+k}$$

Using this in (10), we get for $z \in T_1$

$$\begin{aligned} Re\frac{zr'(z)}{r(z)} &\leq \frac{m}{1+k} - \left(\frac{n-|B'(z)|}{2}\right) \\ &= \frac{m+n-n}{1+k} - \left(\frac{n-|B'(z)|}{2}\right) \\ &= \frac{n}{1+k} - \left(\frac{n-m}{1+k} + \frac{n-|B'(z)|}{2}\right) \\ &= -\left(\frac{n(k-1)}{2(k+1)} + \frac{n-m}{1+k}\right) + \frac{|B'(z)|}{2} \\ &= \frac{|B'(z)|}{2} - \frac{1}{1+k}\left(\frac{n(k+1)-2m}{2}\right). \end{aligned}$$

Hence for $z \in T_1$, we have [Li, Mohapatra and Rodriguez [8] p-529]

$$\begin{aligned} \left| \frac{z(r^*(z))'}{r(z)} \right|^2 &= \left| |B'(z)| - \frac{zr'(z)}{r(z)} \right|^2 \\ &= |B'(z)|^2 + \left| \frac{zr'(z)}{r(z)} \right|^2 - 2|B'(z)|Re\frac{zr'(z)}{r(z)} \\ &\geq |B'(z)|^2 + \left| \frac{zr'(z)}{r(z)} \right|^2 - |B'(z)| \left(|B'(z)| - \frac{n(k+1) - 2m}{1+k} \right) \\ &= \left| \frac{zr'(z)}{r(z)} \right|^2 + \frac{n(k+1) - 2m}{1+k} |B'(z)| \end{aligned}$$

This implies for $z \in T_1$,

(11)
$$\left\{ |r'(z)|^2 + \frac{n(k+1) - 2m}{k+1} |B'(z)| |r(z)|^2 \right\}^{\frac{1}{2}} \le |(r^*(z))'|$$

Combining (11) with Lemma 2.1, we get

$$|r'(z)| + \left\{ |r'(z)|^2 + \frac{n(k+1) - 2m}{k+1} |B'(z)| |r(z)|^2 \right\}^{\frac{1}{2}} \le |B'(z)| ||r(z)||$$

or equivalently,

$$|r'(z)|^{2} + \frac{n(k+1) - 2m}{k+1} |B'(z)| |r(z)|^{2} \le \left(|B'(z)|||r(z)|| - |r'(z)|\right)^{2}$$
$$= |B'(z)|^{2} ||r(z)||^{2} - 2|B'(z)||r'(z)|||r(z)|| + |r'(z)|^{2}.$$

Which after simplification yields for $z \in T_1$ that

$$|r'(z)| \le \frac{1}{2} \left\{ |B'(z)| - \frac{(n(k+1)-2m)}{(k+1)} \frac{|r(z)|^2}{||r(z)||^2} \right\} ||r(z)||.$$

The desired result follows.

Remark 3.2. If r(z) has exactly n zeros in $T_k \cup D_{k+}$, then we get Theorem 1.5.

ACKNOWLEDGEMENT

This work was supported by NBHM, India, under the research project number 02011/36/2017/R&D-II.

CONFLICT OF INTERESTS

The author(s) declare that there is no conflict of interests.

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