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## REALIZATION OF SYMPLECTIC CYCLIC ACTIONS ON ELLIPTIC SURFACES

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**Abstract.** In this paper, we study the existence of pseudofree, homologically trivial, symplectic cyclic actions  $\mathbf{Z}_p$  with order  $7 < p \leq 40$  on elliptic surfaces  $E(n)$ . Especially, we construct the  $\mathbf{Z}_{13}$  action on  $E(n)$  and give the local representations of fixed points.

**Keywords:** symplectic cyclic action; elliptic surfaces; fixed points; local representation.

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### 1. Introduction

Let  $E(n) (n \geq 2)$  be a minimal elliptic surface with rational base.  $E(n)$  is a simply connected 4-manifold which is defined as the  $n$ -fold fiber sum of copies of  $E(1)$ , where  $E(1) = \mathbf{C}P^2 \# \overline{\mathbf{C}P^2}$  being equipped with an elliptic fibration. Note that  $\text{sign}(E(n)) = -8n$  and  $\chi(E(n)) = 12n$ . Thus  $E(2) = E(1) \#_{\mathbb{T}^2} E(1)$  is the  $K3$ -surface. To see this just note that the Euler characteristic are additive under taking fiber connected sums over a torus. Hence  $\text{sign}(E(2)) = -16$  and  $\chi(E(2)) = 24$  which characterizes  $K3$  surface [4]. Elliptic surface  $E(n)$  is Kähler, hence can be equipped with a symplectic structure which is provided by the complex structure and the Kähler metric.

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Let  $\mathbf{Z}_p$  be a symplectic cyclic action on 4-manifold  $E(n)$  with odd prime order  $p$  and  $\mathbf{Z}_p$  preserves the symplectic structure on  $E(n)$ . In the study of symplectic action of a finite group action on 4-manifolds, a central problem is describing the structure of fixed-point set and action around it. In this aspect, W. Chen and S. Kwasik [2] give a complete description of the fixed-point set structure of a symplectic cyclic action of prime order on a minimal symplectic 4-manifold with  $c_1^2 = 0$ . When  $p \leq 7$ , [6] study the pseudofree, homologically trivial, symplectic cyclic actions on  $E(n)$  with order 2, 3, 5 and 7. In this paper, we study the pseudofree, homologically trivial, symplectic cyclic actions on  $E(n)$  with order  $7 < p \leq 40$ . In this case, the construction of the action is more complex. So we only construct the action  $\mathbf{Z}_{13}$  on  $E(n)$  and give the local representations of fixed points. While the other action  $\mathbf{Z}_p$  with  $p > 13$  can be constructed similarly.

## 2. Preliminaries

In this section, we review some theorems and notations such as the Lefschetz fixed point formula, the  $G$ -signature theorem, the realization theorem of Edmonds and Ewing [3] and some useful results of W. Chen and S. Kwasik [2].

Let  $g : X \rightarrow X$  generate an action of  $G$  on a closed, simply connected 4-manifold  $X$ . we have the Lefschetz fixed point formula

$$\chi(F) = \Lambda(g) = 2 + \text{Trace}[g_* : H_2(X) \rightarrow H_2(X)].$$

We can refer to Allday and Puppe [1] for details.

Let  $X$  be a closed, oriented smooth 4-manifold. Let  $G = \mathbf{Z}_p$  be an orientation-preserving cyclic group action with odd prime order on  $X$ . Then the fixed-point set of  $G$  on  $X$ , if nonempty, will be consist of a disjoint union of finitely many isolated points and 2-dimensional orientable submanifolds. On each isolated fixed point,  $G$  defines a local complex representation  $(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^{kq} z_2)$  for some  $k, q \neq 0 \pmod{p}$ , where  $q$  is uniquely determined, and  $k$  is determined up to a sign and  $\mu_p \equiv \exp(\frac{2\pi i}{p})$ .

**Theorem 2.1.**[5](*G*-Signature Theorem for Prime Order Cyclic Actions).

$$|G| \cdot \sigma(X/G) = \sigma(X) + \sum_{m \in F} def_m + \sum_{Y \in F} def_Y,$$

where  $m$  stands for an isolated fixed point, and  $Y$  stands for a 2-dimensional component of  $M^G$ . The terms  $def_m$  and  $def_Y$  are called signature defects and they are given by the following formulae:

$$def_m = \sum_{k=1}^{p-1} \frac{(1 + \mu_p^k)(1 + \mu_p^{kq})}{(1 - \mu_p^k)(1 - \mu_p^{kq})}$$

if the local representation at  $m$  is given by  $(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^{kq} z_2)$ , and

$$def_Y = \frac{P^2 - 1}{3} \cdot (Y \cdot Y)$$

where  $Y \cdot Y$  is the self-intersection of  $Y$ .

An action on a space is called pseudofree if it is free on the compliment of a discrete subset.

**Theorem 2.2.** [3](Realization Theorem for Pseudofree Prime Order Cyclic Actions ) Let  $G$  be the cyclic group of order  $p$ . Suppose that one is given a fixed point data

$$D = \{(a_0, b_0), (a_1, b_1), \dots, (a_n, b_n), (a_{n+1}, b_{n+1})\},$$

where  $a_i, b_i \in \mathbf{Z}_p \setminus \{0\}$ , and a  $G$ -invariant symmetric unimodular form

$$\Phi: V \times V \rightarrow \mathbf{Z},$$

where  $V$  be a finitely generated  $\mathbf{Z}$ -free  $\mathbf{Z}[G]$ -module. Then the data  $D$  and the form  $(V, \Phi)$  are realizable by a locally linear, pseudofree,  $G$ -action on a closed, simply-connected, topological 4-manifold if and only if they satisfy the following two conditions

- (1) The condition REP: As a  $\mathbf{Z}[G]$ -module,  $V$  splits into  $F \oplus T$ , where  $F$  is free and  $T$  is a trivial  $\mathbf{Z}[G]$ -module with  $\text{rank}_{\mathbf{Z}} T = n$ .
- (2) The condition GSF: The  $G$ -Signature Formula is satisfied

$$\sigma(g, (V, \Phi)) = \sum_{i=0}^{n+1} \frac{(\zeta^{a_i} + 1)(\zeta^{b_i} + 1)}{(\zeta^{a_i} - 1)(\zeta^{b_i} - 1)},$$

where  $\zeta = \exp(2\pi\sqrt{-1}/p)$ .

Note that for homologically trivial action  $\mathbf{Z}_p$  ( $p$  being odd prime number), GSF is the only condition needed for realization of  $\mathbf{Z}_p$ .

**Theorem 2.3.** [2] *Let  $M$  be a minimal symplectic 4-manifold with  $c_1^2 = 0$  and  $b_2^+ \geq 2$ , which admits a nontrivial, pseudofree action of  $G \equiv \mathbf{Z}_p$ , where  $p$  is prime, such that the symplectic structure is preserved under the action and the induced action on  $H^2(M; \mathbf{Q})$  is trivial. Then the set of fixed points of  $G$  can be divided into groups each of which belongs to one of the following five possible types.*

- (1) *One fixed point with local representation  $(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^{-k} z_2)$  for some  $k \not\equiv 0 \pmod{p}$ , i.e., with local representation contained in  $SL_2(\mathbf{C})$ .*
- (2) *Two fixed points with local representation  $(z_1, z_2) \mapsto (\mu_p^{2k} z_1, \mu_p^{3k} z_2)$ ,  $(z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{6k} z_2)$  for some  $k \not\equiv 0 \pmod{p}$  respectively. Fixed points of this type occur only when  $p > 5$ .*
- (3) *Three fixed points, one with local representation  $(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^{2k} z_2)$  and the other two with local representation  $(z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{4k} z_2)$  for some  $k \not\equiv 0 \pmod{p}$ . Fixed points of this type occur only when  $p > 3$ .*
- (4) *Four fixed points, one with local representation  $(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^k z_2)$  and the other three with local representation  $(z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2)$  for some  $k \not\equiv 0 \pmod{p}$ . Fixed points of this type occur only when  $p > 3$ .*
- (5) *Three fixed points, each with local representation  $(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^k z_2)$  for some  $k \not\equiv 0 \pmod{p}$ . Fixed points of this type occur only when  $p = 3$ .*

**Theorem 2.4.** [2] *Let  $M$  be a minimal symplectic 4-manifold with  $c_1^2 = 0$  and  $b_2^+ \geq 2$ , which admits a homologically trivial (over  $\mathbf{Q}$  coefficients), pseudofree, symplectic  $\mathbf{Z}_p$ -action for a prime  $p > 1$ . Then the following conclusions hold.*

- (1) *The action is trivial if  $p \not\equiv 1 \pmod{4}$ ,  $p \not\equiv 1 \pmod{6}$ , and the signature of  $M$  is nonzero. In particular, if the signature of  $M$  is nonzero, then for infinitely many primes  $p$  the manifold  $M$  does not admit any such nontrivial  $\mathbf{Z}_p$ -actions.*
- (2) *The action is trivial as long as there is a fixed point of type (1) in Theorem 2.3.*

**Theorem 2.5.** [2] *Let  $def(k)$  be the total signature defect contributed by one group of fixed points of type (k) in the Theorem 2.3, where  $k = 1, 2, 3, 4$ . Then we have*

- (1)  $def(1) = \frac{1}{3}(p - 1)(p - 2)$  for all  $p > 1$ .
- (2)  $def(2) = -8r$  if  $p = 6r + 1$ ,  $4def(2) = 8r + 8$  if  $p = 6r + 5$ .
- (3)  $def(3) = -8r$  if  $p = 4r + 1$ ,  $def(3) = 2$  if  $p = 4r + 3$ .
- (4)  $def(4) = -8r$  if  $p = 3r + 1$ ,  $def(4) = -4r$  if  $p = 3r + 2$ .

### 3. Main results

In this section, we suppose there exists a pseudofree, homologically trivial, symplectic cyclic actions  $G \equiv \mathbf{Z}_p$  on elliptic surfaces  $E(n)$  with order  $7 < p \leq 40$  being prime. Obviously, there are eight prime numbers in this scope, which is 11, 13, 17, 19, 23, 29, 31, 37. When  $p = 11, 23$ ,  $\mathbf{Z}_p$  must be trivial by theorem 2.4. When  $p = 13, 17, 19, 29, 31, 37$ ,  $\mathbf{Z}_p$  could be nontrivial by theorem 2.4.

For the sake of convenience, we only construct a pseudofree, homologically trivial but nontrivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on elliptic surfaces  $E(n)$  and give the local representation of each fixed point.

Since the group action is pseudofree, the fixed point set is consist of isolated points. Hence the  $G$ -signature theorem becomes to

$$|G| \cdot \sigma(X/G) = \sigma(X) + \sum_{m \in F} def_m,$$

where  $F$  denotes the fixed point set. Since the induced action on  $H^2(X; \mathbf{Q})$  is trivial,  $\sigma(X/G) = \sigma(X)$  and  $\chi(X/G) = \chi(X)$ . Besides, the action is supposed to be nontrivial then from theorem 2.3, 2.4 the fixed-point set  $F$  may be composed of type (2), (3) and (4) by theorem 2.3. Let  $a_2, a_3$  and  $a_4$  be the numbers of groups of fixed points of type (2), (3) and (4) respectively. From the  $G$ -signature theorem and the Lefschetz fixed point formula we have

$$12n = 2a_2 + 3a_3 + 4a_4. \tag{1}$$

Next, we construct the action on  $E(n)$  for  $n = 2m, n = 3m, n = 4m, n = 4m - 1$  and  $n = 4m + 1 (m \in \mathbf{Z})$  respectively. These five cases cover all possible value of  $n$  and would simplify our construction.

**Case 1.** Suppose there exists a pseudofree, homologically trivial but nontrivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on elliptic surfaces  $E(n)$ , ( $n = 2m, m \in \mathbf{Z}$ ).

We choose one solution  $(6n, 0, 0)$  of equation (1). Since  $n = 2m$ , there are  $24m$  fixed points all together. We divide them evenly into  $m$  group, and assign the points in each group with local representations

$$(z_1, z_2) \mapsto (\mu_p^{2k} z_1, \mu_p^{3k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{6k} z_2),$$

evaluated at  $k = 1, 2, 3, \dots, 12$ . Now we obtain a set of  $24m$  ordered pairs of nonzero elements, we denote it  $D_1$ . In order to realize  $D_1$  as the fixed point set of some pseudofree, homologically trivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on  $E(2n)$ , we need only to verify the GSF condition. In our study, the GSF condition is

$$\sigma(g, X) = m \cdot def_{(2)}.$$

Since  $\sigma(g, X) = \sigma(X) = -8n = -16m$  for any  $g \in \mathbf{Z}_{13}$  and  $def_{(2)} = -16$ , the GSF condition obviously exists.

**Case 2.** Suppose there exists a pseudofree, homologically trivial but nontrivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on elliptic surfaces  $E(n)$ , ( $n = 3m, m \in \mathbf{Z}$ ).

We choose one solution  $(0, 4n, 0)$  of equation (1). Since  $n = 3m$ , there are  $36m$  fixed points all together. We divide them evenly into  $m$  group, and assign the points in each group with local representations

$$(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^{2k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{4k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{4k} z_2)$$

evaluated at  $k = 1, 2, 3, \dots, 12$ . Now we obtain a set of  $36m$  ordered pairs of nonzero elements, we denote it  $D_2$ . In order to realize  $D_2$  as the fixed point set of some pseudofree, homologically trivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on  $E(2n)$ , we need only to verify the GSF condition. In our study, the GSF condition is

$$\sigma(g, X) = m \cdot def_{(3)}.$$

Since  $\sigma(g, X) = \sigma(X) = -8n = -24m$  for any  $g \in \mathbf{Z}_{13}$  and  $def_{(3)} = -24$ , the GSF condition obviously exists.

**Case 3.** Suppose there exists a pseudofree, homologically trivial but nontrivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on elliptic surfaces  $E(n)$ ,  $(n = 4m, m \in \mathbf{Z})$ .

We choose one solution  $(0, 0, 3n)$  of equation (1). Since  $n = 4m$ , there are  $48m$  fixed points all together. We divide them evenly into  $m$  group, and assign the points in each group with local representations

$$(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^k z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2),$$

$$(z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2)$$

evaluated at  $k = 1, 2, 3, \dots, 12$ . Then there is a set of  $48m$  ordered pairs of nonzero elements. We denote it  $D_3$ . In order to realize  $D_3$  as the fixed point set of some pseudofree, homologically trivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on  $E(2n)$ , we need only to verify the GSF condition. In this case, the GSF condition is

$$\sigma(g, X) = m \cdot def_{(4)}.$$

Since  $\sigma(g, X) = \sigma(X) = -8n = -32m$  for any  $g \in \mathbf{Z}_{13}$  and  $def_{(4)} = -32$ , the GSF condition obviously exists.

**Case 4.** Suppose there exists a pseudofree, homologically trivial but nontrivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on elliptic surfaces  $E(n)$ ,  $(n = 4m - 1, m \in \mathbf{Z})$ .

Since  $n = 4m - 1$ ,  $(12, 0, 12(m - 1))$  is a solution of equation (1). Obviously, there are 36 fixed points of type (3) and  $48(m - 1)$  fixed points of type (4). We divide them as follows. The fixed points of type (3) is in one group, and the local representations of each fixed point are

$$(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^{2k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{4k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{4k} z_2)$$

evaluated at  $k = 1, 2, 3, \dots, 12$ . The fixed points of type (4) are evenly divided into  $m - 1$  groups with local representations

$$(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^k z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2),$$

$$(z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2)$$

evaluated at  $k = 1, 2, 3, \dots, 12$ . Now we obtain a set of  $12(4m - 1)$  ordered pairs of nonzero elements, we denote it  $D_4$ . In order to realize  $D_4$  as the fixed point set of some pseudofree, homologically trivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on  $E(2n)$ , we need only to verify the GSF condition. In this case, the GSF condition is

$$\sigma(g, X) = def(3) + (m - 1) \cdot def(4).$$

Since  $\sigma(g, X) = \sigma(X) = -8n = -8(4m - 1)$  for any  $g \in \mathbf{Z}_{13}$  and  $def(3) = -24, def(4) = -32$ , the GSF condition exists and the action can be realized.

**Case 5.** Suppose there exists a pseudofree, homologically trivial but nontrivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on elliptic surfaces  $E(n)$ , ( $n = 4m + 1, m \in \mathbf{Z}$ ).

Since  $n = 4m + 1$ ,  $(0, 36, 12(m - 2))$  is a solution of equation (1). Obviously, there are 108 fixed points of type (3) and  $48(m - 2)$  fixed points of type (4). We divide them as below. The fixed points of type (3) is divided into 3 groups, and each group have local representations

$$(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^{2k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{4k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{4k} z_2)$$

evaluated at  $k = 1, 2, 3, \dots, 12$ . The fixed points of type (4) are evenly divided into  $m - 2$  groups, and each group have local representations

$$(z_1, z_2) \mapsto (\mu_p^k z_1, \mu_p^k z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2),$$

$$(z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2), (z_1, z_2) \mapsto (\mu_p^{-k} z_1, \mu_p^{3k} z_2)$$

evaluated at  $k = 1, 2, 3, \dots, 12$ . Now we obtain a set of  $12(4m + 1)$  ordered pairs of nonzero elements, we denote it  $D_5$ . In order to realize  $D_5$  as the fixed point set of some pseudofree, homologically trivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on  $E(2n)$ , we need only to verify the GSF condition. In this case, the GSF condition is

$$\sigma(g, X) = 3def(3) + (m - 2) \cdot def(4).$$

Since  $\sigma(g, X) = \sigma(X) = -8n = -8(4m + 1)$  for any  $g \in \mathbf{Z}_{13}$  and  $def(3) = -24, def(4) = -32$ , the GSF condition obviously exists. Thus this action can be realized.



To sum up, for any  $n$ , we can construct a pseudofree, homologically trivial but non-trivial, symplectic cyclic actions  $\mathbf{Z}_{13}$  on elliptic surfaces  $E(n)$ . For other  $\mathbf{Z}_p$  action ( $7 < p \leq 40$ ) on elliptic surfaces  $E(n)$ , we can construct by the same way.

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