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ON THE RAINBOW NEIGHBOURHOOD NUMBER OF SET-GRAPHS

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Abstract. In this paper, we present results for the rainbow neighbourhood numbers of set-graphs. It is also shown that set-graphs are perfect graphs. The intuitive colouring dilemma in respect of the rainbow neighbourhood convention is clarified as well. Finally, the new notion of the maximax independence, maximum proper colouring of a graph and a new graph parameter called the i -max number of G are introduced as a new research direction.

Keywords: rainbow neighbourhood; rainbow neighbourhood number; set-graph; maximax independence; maximum proper colouring; i -max number.

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1. INTRODUCTION

For general notation and concepts in graphs and digraphs see [1, 2, 10]. Unless stated otherwise, all graphs we consider in this paper are simple, connected and finite graphs.

For a set of distinct colours $\mathcal{C} = \{c_1, c_2, c_3, \dots, c_\ell\}$ a vertex colouring of a graph G is an assignment $\varphi : V(G) \mapsto \mathcal{C}$. A vertex colouring is said to be a *proper vertex colouring* of a graph G if no two distinct adjacent vertices have the same colour. The cardinality of a minimum set

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of colours in a proper vertex colouring of G is called the *chromatic number* of G and is denoted $\chi(G)$. We call such a colouring a *chromatic colouring* of G .

A *minimum parameter colouring* of a graph G is a proper colouring of G which consists of the colours c_i ; $1 \leq i \leq \ell$, with minimum subscripts i . Unless stated otherwise, we consider minimum parameter colouring throughout this paper. The set of vertices of G having the colour c_i is said to be the *colour class* of c_i in G and is denoted by \mathcal{C}_i . The cardinality of the colour class \mathcal{C}_i is said to be the weight of the colour c_i , denoted by $\theta(c_i)$. Note that $\sum_{i=1}^{\ell} \theta(c_i) = v(G)$.

Unless mentioned otherwise, we colour the vertices of a graph G in such a way that $\mathcal{C}_1 = I_1$, the maximal independent set in G , $\mathcal{C}_2 = I_2$, the maximal independent set in $G_1 = G - \mathcal{C}_1$ and proceed like this until all vertices are coloured. This convention is called *rainbow neighbourhood convention*(see [4, 5]).

Unless mentioned otherwise, we shall colour a graph in accordance with the rainbow neighbourhood convention.

Note that the closed neighbourhood $N[v]$ of a vertex $v \in V(G)$ which contains at least one coloured vertex of each colour in the chromatic colouring, is called a rainbow neighbourhood (see [4]). The number of vertices in G which yield rainbow neighbourhoods, denoted by $r_\chi(G)$, is called the *rainbow neighbourhood number* of G .

In [7], the bounds on $r_\chi(G)$ corresponding to of minimum proper colouring was defined as, $r_\chi^-(G)$ and $r_\chi^+(G)$ respectively denote the minimum value and maximum value of $r_\chi(G)$ over all permissible colour allocations. If we relax connectedness, it follows that the null graph \mathfrak{N}_n of order $n \geq 1$ has $r^-(\mathfrak{N}_n) = r^+(\mathfrak{N}_n) = n$. For more results in this area, refer to [6]. For bipartite graphs and complete graphs, K_n it follows that, $r^-(G) = r^+(G) = n$ and $r^-(K_n) = r^+(K_n) = n$.

We observe that if it is possible to permit a chromatic colouring of any graph G of order n such that the star subgraph obtained from vertex v as center and its open neighbourhood $N(v)$ the pendant vertices, has at least one coloured vertex from each colour for all $v \in V(G)$ then $r_\chi(G) = n$. Certainly to examine this property for any given graph is complex. More interesting results are presented in [8, 9].

Lemma 1.1. [3] *For any graph G , the graph $G' = K_1 + G$ has $r_\chi(G') = 1 + r_\chi(G)$.*

2. RAINBOW NEIGHBOURHOOD NUMBER OF SET-GRAPHS

This section begins with an important lemma from [7].

Lemma 2.1. [7] *If a vertex $v \in V(G)$ yields a rainbow neighbourhood in G then, $d_G(v) \geq \chi(G) - 1$.*

Lemma 2.1 motivated the next probability corollary.

Corollary 2.2. [7] *A vertex $v \in V(G)$ possibly yields a rainbow neighbourhood in G if and only if, $d_G(v) \geq \chi(G) - 1$.*

The notion of a set-graph was introduced in [3] as explained below.

Definition 2.3. [3] Let $A^{(n)} = \{a_1, a_2, a_3, \dots, a_n\}$, $n \in \mathbb{N}$ be a non-empty set and the i -th s -element subset of $A^{(n)}$ be denoted by $A_{s,i}^{(n)}$. Now, consider $\mathcal{S} = \{A_{s,i}^{(n)} : A_{s,i}^{(n)} \subseteq A^{(n)}, A_{s,i}^{(n)} \neq \emptyset\}$. The *set-graph* corresponding to set $A^{(n)}$, denoted $G_{A^{(n)}}$, is defined to be the graph with $V(G_{A^{(n)}}) = \{v_{s,i} : A_{s,i}^{(n)} \in \mathcal{S}\}$ and $E(G_{A^{(n)}}) = \{v_{s,i}v_{t,j} : A_{s,i}^{(n)} \cap A_{t,j}^{(n)} \neq \emptyset\}$, where $s \neq t$ or $i \neq j$.

Figure 1 depicts the set-graph $G_{A^{(3)}}$.

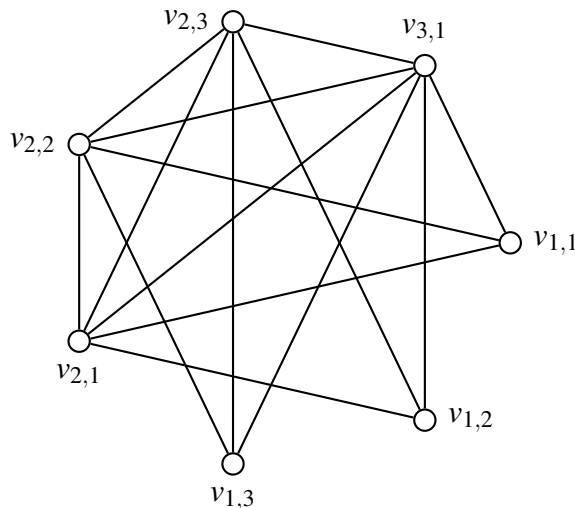


FIGURE 1. The set-graph $G_{A^{(3)}}$.

The largest complete graph in the given set-graph $G_{A^{(n)}}$, $n \geq 2$ is $K_{2^{n-1}}$ and the number of such largest complete graphs in the given set-graph $G_{A^{(n)}}$, $n \geq 2$ is provided in the following proposition.

Proposition 2.4. [3] *The set-graph $G_{A(n)}$, $n \geq 1$ has exactly 2^{n-1} largest complete graphs $K_{2^{n-1}}$.*

Theorem 2.5. [3] *The chromatic number of a set-graph $G_{A(n)}$, $n \geq 1$ is $\chi(G_{A(n)}) = 2^{n-1}$.*

The next important theorem is found to hold.

Theorem 2.6. *A set-graph $G_{A(n)}$, $n \geq 1$ is a perfect graph.*

Proof. From Proposition 2.4 it follows that $\omega(G_{A(n)}) = 2^{n-1}$ and $2^{n-1} = \chi(G_{A(n)})$, follows from Theorem 2.5. Hence, a set-graph is weakly perfect. Since a set-graph has $\omega(G_{A(n)}) = 2^{n-1}$ and exactly $2^n - 1$ vertices it has a unique maximum independent set X of $\alpha(G_{A(n)}) = 2^{n-1} - 1$ vertices. Furthermore, $\langle X \rangle$ is a null graph hence, any subgraph thereof is perfect.

Also, since $\omega(G_{A(n)}) = 2^{n-1}$ and $2^{n-1} = \chi(G_{A(n)})$, each vertex $v \in \langle X \rangle$ is in some induced maximum clique, therefore each vertex in $V(G_{A(n)})$ is in some induced maximum clique. It then follows that $\omega(H) = \chi(H)$, $\forall H \subseteq G_{A(n)}$, $n \geq 1$. Hence, the result. \square

Conjecture 1. *Any weakly perfect graph G is a perfect graph if each vertex $v \in V(G)$ is in some maximum clique of G .*

Now for the main result of this section.

Theorem 2.7. *For a set-graph $G_{A(n)}$, $n \geq 1$ the maximum and minimum rainbow neighbourhood number are $r_{\chi}^{-}(G_{A(n)}) = r_{\chi}^{+}(G_{A(n)}) = 2^n - 1$.*

Proof. The result is a direct consequence of the result that $v(G_{A(n)}) = 2^n - 1$, Proposition 2.4 and Theorem 2.5. \square

It is observed that $\chi(G) \leq \delta(G) + 1$ if and only if $r_{\chi}^{-}(G) = n$. For set-graphs and paths, equality of this minimum upper bound holds whilst for cycles C_n , where n is even, the inequality holds.

3. MAXIMAX INDEPENDENCE, MAXIMUM PROPER COLOURING OF A GRAPH

From [3] it is known that the independence number of a set-graph is, $\alpha(G_{A(n)}) = n$. This observation challenged the clear understanding of the rainbow neighbourhood convention since

it was discovered that $\theta(c_1) \neq n$ for set-graphs, $G_{A(n)}$, $n \geq 3$. Another example which highlights this intuitive dilemma is discussed next.

Example 1. Consider a thorn(y) complete graph K_n^* , $n \geq 3$ with the vertices of K_n labelled $v_1, v_2, v_3, \dots, v_n$. Without loss of generality assume that $t_i \geq 1$ pendant vertices (thorns) are attached to each vertex v_i such that, $t_1 \leq t_2 \leq \dots \leq t_n$. Label these vertices $u_{i,j}$, $1 \leq j \leq t_i$. Clearly, colouring $c(v_1) = c_1$ and $c(u_{i,j}) = c_1$, $2 \leq i \leq n$ as well as $c(u_{1,j}) = c_2$, $1 \leq j \leq t_1$ permits the rainbow neighbourhood convention in accordance with the minimum proper colouring. Hence, $|\mathcal{C}| = \chi(K_n^*)$. Therefore, $\theta(c_1) \neq \sum_{i=1}^n t_i$ as may be intuitively expected. In fact, if $t_1 < t_2 < \dots < t_n$, the minimum proper n -colouring is unique.

The observations above motivate the new notion of maximax-independence, maximum proper colouring of a graph. It is described as follows.

Colouring Description 1. Let G have the distinct maximum independent sets, X_i , $1 \leq i \leq k$. Consider the graph, $G' = G - X_i$ for some X_i such that $\alpha(G') = \min\{\alpha(G - X_j) : 1 \leq j \leq k\}$. Call the aforesaid procedure the first iteration and let $X_1 = X_i$. Colour all the vertices in X_1 the colour c_1 . Repeat the procedure iteratively with G' to obtain G'' , then G''' then, \dots , then empty graph. Colour all the vertices in the maximum independent set obtained from the j^{th} -iteration the colour, c_j . This colouring is called a *maximax independence, maximum proper colouring* of a graph.

From Figure 1, it follows that the colouring $c(v_{1,1}) = c(v_{1,2}) = c(v_{1,3}) = c_1$ and without loss of generality say, $c(v_{2,1}) = c_2$, $c(v_{2,2}) = c_3$, $c(v_{2,3}) = c_4$, $c(v_{3,1}) = c_5$ permits a maximax-independence, maximum proper colouring of the set-graph $G_{A(3)}$. For a graph G this invariant is denoted, $\chi^{i-max}(G)$.

The empty-sun graph denoted $S_n^\odot = C_n \odot K_1$ is obtained by attaching an additional vertex u_i with edges $u_i v_i$ and $u_i v_{i+1}$ for each edge $v_i v_{i+1} \in E(C_n)$, $1 \leq i \leq n - 1$ and similarly vertex u_n with corresponding edges, $u_n v_n, u_n v_1$ in respect of edge $v_n v_1$.

- Proposition 3.1.**
- (a) For a set-graph, $G_{A(n)}$, $n \geq 3$ we have: $\chi^{i-max}(G_{A(n)}) = \chi(G_{A(n)}) + 1$.
 - (b) For a path P_n , and if and only if $n \geq 4$ and even, we have $\chi^{i-max}(P_n) = \chi(P_n) + 1 = 3$.
 - (c) For a path P_n , $n \geq 4$ and odd, we have $\chi^{i-max}(P_n) = \chi(P_n) = 2$.

- (d) For a cycle C_n , $n \geq 3$, we have $\chi^{i-max}(C_n) = \chi(C_n) = 2$ or 3 , (n is even or odd).
- (e) For a sunlet graph, S_n , $n \geq 3$, we have $\chi^{i-max}(S_n) = \chi(S_n) + 1 = 3$ or 4 , (n is even or odd).
- (f) For a empty-sun graph, S_n^\odot , $n \geq 3$, we have $\chi^{i-max}(S_n^\odot) = \chi(S_n^\odot) + 1 = 4$, (n is odd).
- (g) For a empty-sun graph, S_n^\odot , $n \geq 4$, we have $\chi^{i-max}(S_n^\odot) = \chi(S_n^\odot) = 3$, (n is even).
- (h) For a thorn complete graph K_n^* , $n \geq 3$ and thorns $t_i \geq 1$, we have $\chi^{i-max}(K_n^*) = n + 1$.

Proof. (a) Since the independence number of a set-graph is, $\alpha(G_{A(n)}) = n$, the vertex set $X = \{v_{1,1}, v_{1,2}, v_{1,3}, \dots, v_{1,n}\}$ is a maximum independent set. Also, the induced sub-graph, $\langle V(G_{A(n)}) - X \rangle$ is complete hence, the result.

- (b) By colouring both end-vertices, $c(v_1) = c(v_n) = c_1$ the result is immediate.
- (c) A consequence of (b).
- (d) By merging vertices v_1, v_n of a path P_n , $n \geq 4$ and even, we obtain a cycle C_n , $n \geq 3$ and odd. Therefore the result for an odd cycle from (b). Similarly the result follows for an even cycle.
- (e) Because the n pendant vertices of a sunlet graph is a maximum independent set all may be coloured c_1 . Then the result follows from (d).
- (f) The result follows through similar reanoning found in (d) and (e).
- (g) The result follows through similar reanoning found in (d) and (e).
- (h) Because the $\sum_{i=1}^n t_i$ pendant vertices of a thorn(y) complete graph is a maximum independent set all may be coloured c_1 . Then the result follows from the fact that, $\chi^{i-max}(K_n) = \chi(K_n)$.

□

A complete thorn graph G_c^* corresponding to a graph G of order n is the graph obtained by attaching $t_i \geq 1$ pendant vertices to each vertex $v_i \in V(G)$.

Corollary 3.2. A complete thorn graph G_c^* has $\chi^{i-max}(G_c^*) = \chi^{i-max}(G) + 1$.

Proof. Since $\chi^{i-max}(G) \geq \chi(G)$ for any graph G and a colouring in accordance with the parameter $\chi^{i-max}(G)$ always exists, the result upon constructing G_c^* follows immediately. □

It is proposed that a χ^- -colouring hence, in accordance with a minimum proper colouring and in accordance with the rainbow neighbourhood convention, can be described as follows.

Colouring Description 2. Let G have the distinct maximum independent sets, X_i , $1 \leq i \leq k$. Consider the graph, $G' = G - X_i$ for some X_i such that $\alpha(G') = \max\{\alpha(G - X_j) : 1 \leq j \leq k\}$. Let us call the aforesaid procedure the first iteration and let $X_1 = X_i$. Colour all the vertices in X_1 the colour c_1 . Repeat the procedure iteratively with G' to obtain G'' , then G''' , and proceeding like this, finally the empty graph. Colour the vertices in the maximum independent set obtained from the j^{th} -iteration the colour, c_j .

Theorem 3.3. *If a graph G is coloured in accordance with Colouring description 2, it results in a colouring of G in accordance with the rainbow neighbourhood convention and in accordance with a minimum proper colouring of G .*

Proof. Consider any graph G that is coloured with $|\mathcal{C}| = \ell \geq 2$ colours in accordance with Colouring description 2. It implies that $\theta(c_i) \geq 1$, $1 \leq i \leq \ell$. Hence, a minimum parameter colouring set is complied with. Also, since each set X_i is an independent set of vertices the colouring is a proper colouring. Clearly the colouring is in accordance with the *max*-requirement of the rainbow neighbourhood convention in that, after a maximum number of vertices were allocated say, colour c_j , a maximum number of vertices were subsequently assigned with the colour c_{j+1} .

Assume that it is not a minimum proper colouring of G . It then implies that a different proper colouring exists in which at least the vertices with colour c_ℓ can be coloured in some way with the colour set, $\{c_i : 1 \leq i \leq \ell - 1\}$ to obtain a minimum proper colouring of G . Assume that this new minimum proper colouring results in at least, either $\theta(c_i)$ and $\theta(c_{i+1})$ to increase or only that $\theta(c_i)$ increases. Note that only an increase is possible because in some way, $\theta(c_\ell)$ vertices must become elements of lesser independent vertex sets. This implies a contradiction in at least the i^{th} -iteration of applying the Colouring description 2. Immediate induction through iterations $1, 2, 3, \dots, \ell$ shows that a colouring in accordance with Colouring description 2 results in a colouring of G in accordance with the rainbow neighbourhood convention and in accordance with a minimum proper colouring of G . Therefore, $\chi(G) = \ell$. \square

We state the next corollary without proof as it is an immediate consequence of Theorem 3.3.

Corollary 3.4. *For any graph G we have: $\chi(G) \leq \chi^{i-max}(G)$.*

Theorem 3.5. *For any graph of order $n \geq 2$ and for which, $\alpha(G) = \chi(G)$, we have: $\chi^{i-max}(G) = \chi(G) + 1$.*

Proof. The result is an immediate consequence of Colouring description 3.1. □

4. CONCLUSION

In this paper, some interesting results for the rainbow neighbourhood number of set-graphs were presented. Set graphs were shown to be perfect. Conjecture 1 remains open to be proved or disproved.

Furthermore, the paper clarified the intuitive colouring dilemma in respect of the rainbow neighbourhood convention. Colouring description 1 immediate lends the opportunity to research a new parameter denoted, $r_{\chi}^{i-max}(G)$. The aforesaid parameter is the number of vertices in a graph G which yield rainbow neighbourhoods in G if a minimum proper colouring in accordance with Colouring description 1 is applied.

An immediate consequence of Proposition 3.1, Corollary 3.4 and Theorem 3.5 is that for a sufficiently large $k \geq 1, k \in \mathbb{N}_0$, the bounds, $\chi(G) \leq \chi^{i-max}(G) \leq \chi(G) + k$ holds for any graph G . Also since G is finite, such finite k exists. We note that for all classes of graphs in Proposition 3.1 the minimum k is, 0 or 1. This observation motivates us in defining a new graph parameter as follows:

Definition 4.1. The *i-max number* of a graph G , denoted by $\alpha^{i-max}(G)$, which is defined to be $\alpha^{i-max}(G) = \min\{\ell : \chi^{i-max}(G) \leq \chi(G) + k, k \in \mathbb{N}_0\}$.

Finding an efficient algorithm to determine $\alpha^{i-max}(G)$ for classes of graphs remains open. Finding such algorithm will be a worthy challenge.

CONFLICT OF INTERESTS

The author(s) declare that there is no conflict of interests.

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